



Docket No.: 4560-001

PATENT
DTT Rec'd PCT/PTO JUN 19 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Core Application of

3700

3/10/02 / 7/19/02

PHUONG, PHAN DINH

Serial No. 09/856,294

Group Art Unit: 3752

Filed: May 21, 2001

For: METHOD, CHEMICALS AND AUTOMATIC FIRE EXTINGUISHING SYSTEM
USING LIQUEFIED CO2 TO REGULATE THE DISTRIBUTION OF FIRE
EXTINGUISHING AGENTS

REQUEST FOR CORRECTED FILING RECEIPT

Honorable Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application, on which we noticed that the title is listed erroneously. Also attached is a copy of the Declaration listing the title, which should appear as: Method, chemicals and automatic fire extinguishing system using liquefied CO2 to regulate the distribution of fire extinguishing agents. It is requested that a corrected filing receipt be issued.

Very truly yours,

LOWE HAUPTMAN GILMAN & BERNER, LLP

Benjamin I. Hauptman
Registration No. 29,310

1700 Diagonal Road, Suite 310
Alexandria, Virginia 22314
703-684-1111 BJH: ayh
Facsimile: 703-518-5499
June 19, 2002

RECEIVED

JUL - 3 2002

TECHNOLOGY CENTER R3700



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/856,294	05/01/2002	3752	565	4560-001	1	4	2

CONFIRMATION NO. 6720

22429
LOWE HAUPTMAN GOPSTEIN
GILMAN AND BERNER LLP
SUITE 310
1700 DIAGONAL ROAD
ALEXANDRIA, VA 22314

FILING RECEIPT



OC000000008179791

Date Mailed: 05/28/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Phan Dinh Phuong, Danang, VIET NAM;
Phan Trong Nghia, Danang, VIET NAM;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/VN00/00001 09/19/2000

Foreign Applications

VIET NAM S19990177 09/20/1999

RECEIVED

JUN - 3 2002

Lowe, Hauptman, Gilman & Berner

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Automatic fire extinguishing system using liquefied co2

Preliminary Class

169

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

As a below-named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention (Design, if applicable) entitled: **METHOD, CHEMICALS AND AUTOMATIC FIRE EXTINGUISHING SYSTEM USING LIQUEFIED CO₂ TO REGULATE THE DISTRIBUTION OF FIRE EXTINGUISHING AGENTS**

the specification of which (check one):

- ☐ is attached hereto.
☒ was filed on May 21, 2001 as Application Serial No. 09/856,294.
☒ was filed on 19 December 2000, as International Application (PCT) No. PCT/VN00/00001, and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56. I hereby claim foreign priority benefits under Title 35, United States Code § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which the priority is claimed.

PRIOR FOREIGN APPLICATION(S)

NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	PRIORITY CLAIMED
S19990177	Vietnam	20/09/1999	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating The United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

APPLICATION NUMBER	FILING DATE	STATUS (Patented, Pending or Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I (We) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Allan M. Lowe, Registration Number 19,641; Benjamin J. Hauptman, Registration Number 29,310; Michael G. Gilman, Registration Number 19,114; Kenneth M. Berner, Registration Number 37,093; and Randy A. Noranbrock, Registration Number 42,940.

Send correspondence to: **LOWE HAUPTMAN GILMAN & BERNER, LLP**
CUSTOMER NO. 22429
 1700 Diagonal Road, Suite 310
 Alexandria, Virginia 22314

TELEPHONE CALLS TO:
Benjamin J. Hauptman
 (703) 684-1111

I hereby authorize the U.S. attorneys and agents named herein to accept and following instructions from **PHAM & ASSOCIATES** as to any actions to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys will be so notified by the undersigned.

☒ See following page(s) for additional joint inventors.

Full Name of First or Sole Inventor	Phan Dinh PHUONG	Citizenship	Vietnamese
Residence Address - Street	01-Le Quy Don	Post Office Address Street	01-Le quy Don
City	Danang	City	Danang
State or Country	Vietnam	State or country	Vietnam / Zip 844
Date 15/01/2002		SIGNATURE <i>[Signature]</i>	

RECEIVED

JUL - 3 2002

TECHNOLOGY CENTER R3700

DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

Page 2

Full Name of Second Inventor	Phan Trong NGHIA	Citizenship	Vietnamese
Residence Address - Street	399/1 Hoang Dieu	Post Office Address Street	399/1-Hoang Dieu
City	Danang	City	Danang
State or Country	Vietnam	State or country	Viet nam
	Zip 844		Zip 844
DATE	15 / 01 / 2002	SIGNATURE	Trong Nghia

Full Name of Third Inventor	Citizenship
Residence Address - Street	Post Office Address Street
City	City
State or Country	State or country
	Zip
DATE	SIGNATURE

Full Name of Fourth Inventor	Citizenship
Residence Address - Street	Post Office Address Street
City	City
State or Country	State or country
	Zip
DATE	SIGNATURE

Full Name of Fifth Inventor	Citizenship
Residence Address - Street	Post Office Address Street
City	City
State or Country	State or country
	Zip
DATE	SIGNATURE

Full Name of Sixth Inventor	Citizenship
Residence Address - Street	Post Office Address Street
City	City
State or Country	State or country
	Zip
DATE	SIGNATURE